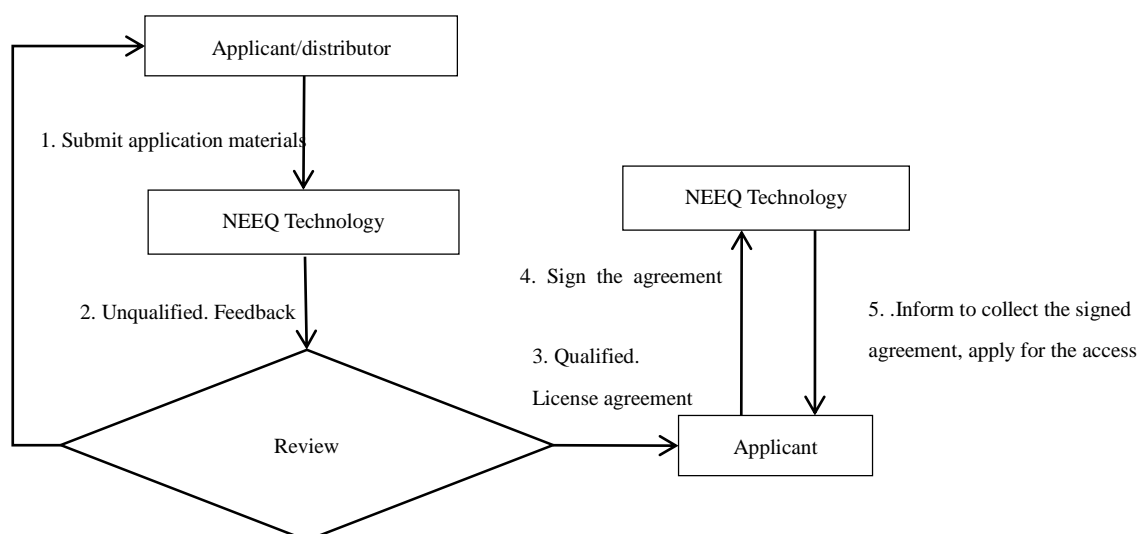


Appendix II

Application Procedures for Market Data Licensing of Beijing Stock Exchange and National Equities Exchange and Quotations

1. Procedures

- a) Prepare the application documents. An applicant for **indices compilation licensing** or **display usage licensing** shall submit such following application documents :
 - 1) Connection Application Form for Level-1 Market Data Licensing (with official seal and riding seal, appendix 1);
 - 2) **For display usage licensing**, the applicant shall submit Level-1 Market Data Licensing application form (with official seal and riding seal, appendix 2)
 - 3). **For indices compilation licensing**, the applicant please contact us by the information provided at the end of this document;
 - 4) One copy of the business license (with official seal);
 - 5) One letter of commitment (with official seal, appendix 3).
- b) Submit the application documents. An applicant shall submit such the application documents to NEEQ Technology. NEEQ Technology will then make formality review of the documents. If documents are incomplete, the applicant shall provide amendatory or supplementary files as required by the NEEQ Technology within the prescribed time. Where the documents are submitted through mail delivery, the date of submission is recognized as the date when the delivery is received and signed by NEEQ Technology staff.
- c) Send the signed “Market Data Licensing Agreement” in print with relevant documents to NEEQ Technology (The Agreement must be made in quadruplicate with complete information, signed by the legal or authorized representative of the applicant and stamped with the company seal. A paging seal spanning across all pages of the agreement is also required).
- d) NEEQ Technology shall sign the Agreement if the Agreement is complete and correct, and inform the applicant.



Flowchart of applying for the market data license of BSE and NEEQ

2. Contact

Business/license application address: Investment Plaza Block A, No.27, Financial Street, Xicheng District, Beijing, China.

Business/license application processing time (at Beijing time): 9:00-11:30, 13:30-17:00 on trading day.

Business/license application consultation telephone: 400-626-3333.

Appendix:

1. Connection Application Form for Level-1 Market Data
2. Level-1 Market Data Licensing Application Form
3. Letter of Commitment

Appendix 1

Connection Application Form for Level-1 Market Data

Customer information			
Company Name in English and in Chinese (if any)			
Name of the person applying on behalf of the company		Submission date	
Phone number		Fax number	
Business information			
Apply for market data of Beijing Stock Exchange and National Equities Exchange and Quotations	<ul style="list-style-type: none">■ Market data receiving channel: <input type="checkbox"/>SSCC <input type="checkbox"/>CIIS● Market data receiving method of SSCC (Multiple choices are allowed):<ul style="list-style-type: none"><input type="checkbox"/>Receiving via one-way satellite<input type="checkbox"/>New application for a ground TCP market data gateway number● Market data receiving method of CIIS:<ul style="list-style-type: none"><input type="checkbox"/>Access via a dedicated line		
Stamp the Seal of the Applicant Company			

Business hotline of SSCC: 0755-83183333-6525、6313、6321;

Technical support hotline of SSCC: 0755-83182222

Business hotline of CIIS: (0852) 25010600

Technical support E-mail address of CIIS: technical@ciis.com.hk

Appendix 2

Level-1 Market Data Licensing Application Form

Submission Date: / /

I. Basic Information of the Company			
Company name in English and in Chinese (if any)			
Is the company a listed company?	<input type="checkbox"/> Yes Place of listing: ISIN code: <input type="checkbox"/> A shares <input type="checkbox"/> B shares <input type="checkbox"/> H shares <input type="checkbox"/> N shares <input type="checkbox"/> others:_____ <input type="checkbox"/> No		
Introduction to the main business of the company			
Registered address of the company			
Legal representative of the company		Official website of the company	
Name of the person in charge of the business in the company		Phone number of the person in charge of the business in the company	
Name of the person applying on behalf of the company		Phone number of the person applying on behalf of the company	
Mobile number of the person applying on behalf of the company		Email of the person applying on behalf of the company	
The ID number of the person applying on behalf of the company			
Address		Postcode	
II. Description of the Company's Services for End Users (If actual number is not available, estimated information is acceptable)			
Name of service			
Target users		Service start time	

Estimated number of users		Market data refresh rate	
III. Application description			
Purpose of the use (Multiple choices are allowed)	<input type="checkbox"/> Website display	Website address:	
		Website function:	
		Network operator:	
	<input type="checkbox"/> PC software display	Software name:	
		Software function:	
		Software provider:	
	<input type="checkbox"/> Mobile terminal display	Software or service name:	
		Terminal function:	
		Software or service provider:	
	<input type="checkbox"/> Others	For the display of other terminals, please explain separately. e.g.: TV	
Business coverage	<input type="checkbox"/> Global <input type="checkbox"/> In Chinese Mainland (Except Hong Kong, Macao and Taiwan) <input type="checkbox"/> others: _____		
<p>The company applies for the market data licensing of Beijing Stock Exchange and National Equities Exchange and Quotations, and hereby promises that the company understands and conforms to the relevant business rules of Beijing Stock Exchange Co., Ltd., National Equities Exchange and Quotations Co., Ltd., Shenzhen Securities Communication Co., Ltd., SSE INFONET Co., Ltd. and other relevant laws, regulations and rules, and conducts business activities in accordance with the law.</p> <p style="text-align: right;">(Stamp the seal of applicant company)</p>			

Appendix 3

Letter of Commitment

The NEEQ Technology Co., Ltd.,

The company hereby declares that the company, the controlling shareholders of the company, and the controlled companies have no illegal business record in the immediately past three years. The declaration above is true and accurate, and the company shall bear all legal responsibilities arising from any false commitment.

Signature: (official seal)

(Year-month-day) :