股转系统公告〔2021〕767号附件

2021年第二季度主办券商执业质量评价结果

| **序号** | **券商简称** | **基础分值** | **专业质量得分** | | | | | | | **合规质量扣分** | | | | | | | **合计分值** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **推荐挂牌业务** | **发行并购业务** | **持续督导业务** | **做市业务** | **经纪业务** | **综合业务** | **专业质量得分合计** | **推荐挂牌业务** | **发行并购业务** | **持续督导业务** | **做市业务** | **经纪业务** | **综合业务** | **合规质量扣分合计** |
| 1 | 开源证券 | 100.00 | 20.00 | 9.88 | 18.82 | 12.12 | 0.24 | 3.00 | 64.07 | 0.00 | 0.00 | 1.33 | 0.27 | 0.00 | 0.00 | 1.60 | 162.47 |
| 2 | 安信证券 | 100.00 | 20.00 | 7.86 | 14.12 | 12.59 | 2.26 | 6.00 | 62.83 | 0.00 | 0.00 | 4.00 | 0.00 | 0.00 | 0.00 | 4.00 | 158.83 |
| 3 | 申万宏源 | 100.00 | 0.00 | 10.30 | 19.78 | 11.52 | 5.24 | 2.51 | 49.35 | 0.00 | 0.00 | 1.33 | 0.00 | 0.00 | 0.00 | 1.33 | 148.02 |
| 4 | 九州证券 | 100.00 | 20.00 | 2.58 | 9.48 | 13.61 | 0.13 | 2.20 | 48.01 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 148.01 |
| 5 | 东北证券 | 100.00 | 20.00 | 2.55 | 12.97 | 10.25 | 0.85 | 2.00 | 48.62 | 2.00 | 4.00 | 1.33 | 0.00 | 0.00 | 0.00 | 7.33 | 141.29 |
| 6 | 西部证券 | 100.00 | 20.00 | 3.24 | 12.05 | 3.63 | 0.47 | 2.00 | 41.39 | 0.00 | 0.00 | 1.33 | 0.00 | 0.00 | 0.00 | 1.33 | 140.06 |
| 7 | 东兴证券 | 100.00 | 20.00 | 0.00 | 11.17 | 0.00 | 0.64 | 2.00 | 33.81 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 133.81 |
| 8 | 华创证券 | 100.00 | 20.00 | 0.97 | 10.41 | 2.70 | 0.26 | 2.20 | 36.54 | 4.00 | 2.00 | 0.00 | 0.00 | 0.00 | 0.00 | 6.00 | 130.54 |
| 9 | 天风证券 | 100.00 | 0.00 | 5.80 | 12.17 | 9.83 | 0.36 | 2.00 | 30.16 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 130.16 |
| 10 | 华西证券 | 100.00 | 15.00 | 0.00 | 10.52 | 1.34 | 0.83 | 2.00 | 29.69 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 129.69 |
| 11 | 中泰证券 | 100.00 | 0.00 | 0.47 | 14.29 | 9.27 | 2.69 | 2.20 | 28.92 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 128.92 |
| 12 | 广发证券 | 100.00 | 0.00 | 0.67 | 10.30 | 9.82 | 4.66 | 3.40 | 28.84 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 128.84 |
| 13 | 山西证券 | 100.00 | 10.00 | 2.31 | 11.18 | 2.89 | 0.36 | 2.00 | 28.74 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 128.74 |
| 14 | 国泰君安 | 100.00 | 0.00 | 1.17 | 11.10 | 7.28 | 5.09 | 3.92 | 28.56 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 128.56 |
| 15 | 海通证券 | 100.00 | 0.00 | 3.37 | 11.53 | 6.69 | 6.18 | 2.52 | 30.29 | 0.00 | 0.00 | 0.67 | 2.40 | 0.00 | 0.00 | 3.07 | 127.22 |
| 16 | 金元证券 | 100.00 | 10.00 | 0.00 | 10.45 | 4.26 | 0.43 | 2.00 | 27.13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 127.13 |
| 17 | 财通证券 | 100.00 | 0.00 | 6.20 | 12.26 | 5.81 | 1.61 | 2.20 | 28.09 | 0.00 | 0.00 | 1.33 | 0.00 | 0.00 | 0.00 | 1.33 | 126.75 |
| 18 | 兴业证券 | 100.00 | 0.00 | 1.97 | 12.52 | 3.38 | 2.38 | 5.12 | 25.38 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 125.38 |
| 19 | 银河证券 | 100.00 | 0.00 | 0.00 | 10.44 | 7.79 | 4.89 | 2.00 | 25.13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 125.13 |
| 20 | 国融证券 | 100.00 | 10.00 | 3.52 | 13.87 | 0.00 | 0.13 | 2.00 | 29.52 | 4.00 | 0.00 | 0.67 | 0.00 | 0.00 | 0.00 | 4.67 | 124.86 |
| 21 | 华泰证券 | 100.00 | 0.00 | 0.00 | 10.20 | 4.19 | 8.28 | 2.00 | 24.67 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 124.67 |
| 22 | 上海证券 | 100.00 | 0.00 | 1.48 | 10.59 | 8.96 | 0.61 | 2.49 | 24.13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 124.13 |
| 23 | 东莞证券 | 100.00 | 0.00 | 3.65 | 11.98 | 4.57 | 1.22 | 2.55 | 23.97 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 123.97 |
| 24 | 中信建投 | 100.00 | 0.00 | 0.95 | 12.37 | 4.01 | 4.33 | 2.80 | 24.45 | 0.00 | 0.00 | 1.33 | 0.00 | 0.00 | 0.00 | 1.33 | 123.12 |
| 25 | 东海证券 | 100.00 | 10.00 | 0.43 | 10.19 | 0.00 | 2.25 | 2.20 | 25.07 | 0.00 | 0.00 | 2.00 | 0.00 | 0.00 | 0.00 | 2.00 | 123.07 |
| 26 | 首创证券 | 100.00 | 0.00 | 1.65 | 11.86 | 7.72 | 0.40 | 2.00 | 23.62 | 0.00 | 0.00 | 0.00 | 0.80 | 0.00 | 0.00 | 0.80 | 122.82 |
| 27 | 长江证券 | 100.00 | 0.00 | 0.95 | 13.93 | 6.84 | 2.06 | 2.20 | 25.98 | 0.00 | 4.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.00 | 121.98 |
| 28 | 东吴证券 | 100.00 | 0.00 | 2.53 | 14.41 | 3.32 | 1.31 | 2.00 | 23.57 | 0.00 | 0.00 | 2.00 | 0.00 | 0.00 | 0.00 | 2.00 | 121.57 |
| 29 | 中信证券 | 100.00 | 0.00 | 0.86 | 10.43 | 4.78 | 5.86 | 2.20 | 24.13 | 0.00 | 0.00 | 2.67 | 0.00 | 0.00 | 0.00 | 2.67 | 121.46 |
| 30 | 西南证券 | 100.00 | 0.00 | 3.56 | 12.04 | 3.59 | 0.70 | 2.69 | 22.57 | 0.00 | 0.00 | 1.33 | 0.00 | 0.00 | 0.00 | 1.33 | 121.24 |
| 31 | 中山证券 | 100.00 | 0.00 | 0.00 | 9.94 | 8.05 | 0.36 | 2.40 | 20.75 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 120.75 |
| 32 | 中航证券 | 100.00 | 10.00 | 0.00 | 10.16 | 2.03 | 0.31 | 2.00 | 24.50 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.00 | 4.00 | 120.50 |
| 33 | 光大证券 | 100.00 | 0.00 | 2.52 | 11.74 | 0.01 | 2.88 | 3.27 | 20.41 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 120.41 |
| 34 | 浙商证券 | 100.00 | 0.00 | 0.67 | 11.45 | 4.93 | 1.14 | 4.00 | 22.19 | 0.00 | 0.00 | 2.00 | 0.00 | 0.00 | 0.00 | 2.00 | 120.19 |
| 35 | 平安证券 | 100.00 | 0.00 | 0.00 | 10.57 | 3.68 | 3.71 | 2.00 | 19.96 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 119.96 |
| 36 | 方正证券 | 100.00 | 0.00 | 2.84 | 11.31 | 2.70 | 2.18 | 2.00 | 21.03 | 0.00 | 0.00 | 1.33 | 0.00 | 0.00 | 0.00 | 1.33 | 119.70 |
| 37 | 国信证券 | 100.00 | 0.00 | 0.56 | 11.31 | 5.03 | 3.47 | 2.53 | 22.89 | 0.00 | 0.00 | 3.33 | 0.00 | 0.00 | 0.00 | 3.33 | 119.56 |
| 38 | 华福证券 | 100.00 | 0.00 | 0.80 | 10.50 | 3.22 | 1.26 | 3.76 | 19.54 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 119.54 |
| 39 | 粤开证券 | 100.00 | 0.00 | 2.00 | 10.06 | 5.05 | 0.48 | 3.54 | 21.14 | 0.00 | 0.00 | 0.00 | 1.60 | 0.00 | 0.00 | 1.60 | 119.54 |
| 40 | 东方财富 | 100.00 | 0.00 | 0.00 | 10.27 | 0.00 | 6.81 | 2.40 | 19.48 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 119.48 |
| 41 | 国联证券 | 100.00 | 0.00 | 2.81 | 11.30 | 2.65 | 0.61 | 2.00 | 19.37 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 119.37 |
| 42 | 国海证券 | 100.00 | 0.00 | 2.73 | 10.60 | 4.12 | 0.53 | 2.00 | 19.99 | 0.00 | 0.00 | 0.67 | 0.00 | 0.00 | 0.00 | 0.67 | 119.32 |
| 43 | 第一创业 | 100.00 | 0.00 | 1.29 | 10.26 | 4.30 | 0.53 | 2.40 | 18.77 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 118.77 |
| 44 | 民生证券 | 100.00 | 0.00 | 1.45 | 10.80 | 4.04 | 0.23 | 2.00 | 18.52 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 118.52 |
| 45 | 招商证券 | 100.00 | 0.00 | 0.00 | 10.45 | 0.92 | 4.66 | 2.60 | 18.63 | 0.00 | 0.00 | 0.67 | 0.00 | 0.00 | 0.00 | 0.67 | 117.97 |
| 46 | 联储证券 | 100.00 | 0.00 | 0.44 | 9.57 | 5.75 | 0.16 | 2.00 | 17.92 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 117.92 |
| 47 | 恒泰证券 | 100.00 | 0.00 | 3.30 | 11.99 | 3.72 | 0.61 | 2.20 | 21.82 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.00 | 4.00 | 117.82 |
| 48 | 太平洋证券 | 100.00 | 0.00 | 0.00 | 11.13 | 4.32 | 0.18 | 2.00 | 17.62 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 117.62 |
| 49 | 财信证券 | 100.00 | 0.00 | 1.83 | 10.42 | 3.45 | 0.39 | 2.20 | 18.29 | 0.00 | 0.00 | 1.33 | 0.00 | 0.00 | 0.00 | 1.33 | 116.96 |
| 50 | 国金证券 | 100.00 | 0.00 | 0.63 | 10.75 | 3.58 | 1.65 | 2.20 | 18.80 | 0.00 | 0.00 | 1.33 | 0.80 | 0.00 | 0.00 | 2.13 | 116.67 |
| 51 | 华安证券 | 100.00 | 0.00 | 0.61 | 10.57 | 2.57 | 0.67 | 2.14 | 16.56 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 116.56 |
| 52 | 中原证券 | 100.00 | 0.00 | 0.48 | 10.68 | 2.54 | 0.80 | 2.00 | 16.49 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 116.49 |
| 53 | 德邦证券 | 100.00 | 0.00 | 0.00 | 9.99 | 2.58 | 0.22 | 3.70 | 16.49 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 116.49 |
| 54 | 银泰证券 | 100.00 | 0.00 | 0.00 | 8.57 | 3.24 | 0.09 | 4.40 | 16.29 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 116.29 |
| 55 | 湘财证券 | 100.00 | 0.00 | 0.67 | 10.50 | 2.50 | 0.60 | 2.00 | 16.27 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 116.27 |
| 56 | 国都证券 | 100.00 | 0.00 | 0.00 | 10.09 | 3.15 | 0.46 | 2.00 | 15.69 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 115.69 |
| 57 | 国元证券 | 100.00 | 0.00 | 0.63 | 11.42 | 3.81 | 0.86 | 2.20 | 18.91 | 0.00 | 0.00 | 3.33 | 0.00 | 0.00 | 0.00 | 3.33 | 115.58 |
| 58 | 国盛证券 | 100.00 | 0.00 | 0.67 | 10.12 | 2.80 | 0.58 | 2.00 | 16.16 | 0.00 | 0.00 | 0.67 | 0.00 | 0.00 | 0.00 | 0.67 | 115.50 |
| 59 | 华林证券 | 100.00 | 0.00 | 0.52 | 10.00 | 2.62 | 0.24 | 2.00 | 15.37 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 115.37 |
| 60 | 长城证券 | 100.00 | 0.00 | 0.00 | 10.20 | 2.47 | 0.93 | 2.20 | 15.79 | 0.00 | 0.00 | 0.67 | 0.00 | 0.00 | 0.00 | 0.67 | 115.13 |
| 61 | 大通证券 | 100.00 | 0.00 | 0.00 | 9.50 | 3.21 | 0.10 | 2.00 | 14.82 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 114.82 |
| 62 | 中金公司 | 100.00 | 0.00 | 1.29 | 8.77 | 2.16 | 0.59 | 2.00 | 14.81 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 114.81 |
| 63 | 五矿证券 | 100.00 | 0.00 | 2.30 | 10.20 | 0.00 | 0.16 | 2.00 | 14.66 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 114.66 |
| 64 | 南京证券 | 100.00 | 0.00 | 0.00 | 10.32 | 3.40 | 0.46 | 2.00 | 16.18 | 0.00 | 0.00 | 2.00 | 0.00 | 0.00 | 0.00 | 2.00 | 114.18 |
| 65 | 华龙证券 | 100.00 | 0.00 | 1.02 | 10.37 | 1.75 | 0.17 | 2.00 | 15.32 | 0.00 | 0.00 | 1.33 | 0.00 | 0.00 | 0.00 | 1.33 | 113.98 |
| 66 | 大同证券 | 100.00 | 0.00 | 1.77 | 9.94 | 0.00 | 0.10 | 2.00 | 13.80 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 113.80 |
| 67 | 新时代证券 | 100.00 | 0.00 | 0.47 | 10.72 | 0.00 | 0.18 | 2.20 | 13.57 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 113.57 |
| 68 | 华鑫证券 | 100.00 | 0.00 | 0.00 | 9.84 | 1.14 | 0.53 | 2.00 | 13.51 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 113.51 |
| 69 | 爱建证券 | 100.00 | 0.00 | 0.00 | 9.32 | 1.54 | 0.32 | 2.20 | 13.38 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 113.38 |
| 70 | 英大证券 | 100.00 | 0.00 | 0.00 | 9.58 | 1.50 | 0.11 | 2.00 | 13.19 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 113.19 |
| 71 | 东方证券 | 100.00 | 0.00 | 0.00 | 9.31 | 5.05 | 2.09 | 2.00 | 18.45 | 0.00 | 0.00 | 1.33 | 0.00 | 0.00 | 4.00 | 5.33 | 113.12 |
| 72 | 中天国富 | 100.00 | 0.00 | 0.67 | 10.37 | 0.00 | 0.03 | 2.00 | 13.07 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 113.07 |
| 73 | 江海证券 | 100.00 | 0.00 | 0.00 | 10.91 | 3.77 | 0.25 | 2.00 | 16.93 | 4.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.00 | 112.93 |
| 74 | 信达证券 | 100.00 | 0.00 | 0.67 | 9.31 | 0.00 | 0.85 | 2.00 | 12.83 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.83 |
| 75 | 万联证券 | 100.00 | 0.00 | 0.00 | 10.47 | 0.00 | 0.35 | 2.00 | 12.81 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.81 |
| 76 | 红塔证券 | 100.00 | 0.00 | 0.00 | 10.12 | 4.40 | 0.12 | 2.00 | 16.65 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.00 | 4.00 | 112.65 |
| 77 | 华融证券 | 100.00 | 0.00 | 0.00 | 10.24 | 0.00 | 0.37 | 2.00 | 12.61 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.61 |
| 78 | 中银证券 | 100.00 | 0.00 | 0.00 | 9.66 | 0.00 | 0.74 | 2.00 | 12.40 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.40 |
| 79 | 世纪证券 | 100.00 | 0.00 | 0.00 | 10.13 | 0.00 | 0.16 | 2.00 | 12.29 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.29 |
| 80 | 川财证券 | 100.00 | 0.00 | 0.00 | 9.83 | 0.00 | 0.10 | 2.24 | 12.18 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.18 |
| 81 | 宏信证券 | 100.00 | 0.00 | 0.00 | 10.07 | 0.00 | 0.08 | 2.00 | 12.15 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.15 |
| 82 | 华兴证券 | 100.00 | 0.00 | 0.00 | 10.02 | 0.00 | 0.00 | 2.00 | 12.02 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.02 |
| 83 | 长城国瑞 | 100.00 | 0.00 | 0.00 | 9.73 | 0.00 | 0.09 | 2.00 | 11.82 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 111.82 |
| 84 | 申港证券 | 100.00 | 0.00 | 0.00 | 9.61 | 0.00 | 0.04 | 2.00 | 11.64 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 111.64 |
| 85 | 中信华南 | 100.00 | 0.00 | 0.00 | 8.00 | 0.00 | 0.60 | 2.84 | 11.43 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 111.43 |
| 86 | 中邮证券 | 100.00 | 0.00 | 0.00 | 9.19 | 0.00 | 0.05 | 2.00 | 11.24 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 111.24 |
| 87 | 万和证券 | 100.00 | 0.00 | 0.00 | 10.08 | 3.00 | 0.11 | 2.00 | 15.19 | 0.00 | 0.00 | 0.00 | 4.00 | 0.00 | 0.00 | 4.00 | 111.19 |
| 88 | 渤海证券 | 100.00 | 0.00 | 0.00 | 9.71 | 3.22 | 0.23 | 2.00 | 15.16 | 0.00 | 0.00 | 4.00 | 0.00 | 0.00 | 0.00 | 4.00 | 111.16 |
| 89 | 国开证券 | 100.00 | 0.00 | 0.00 | 8.86 | 0.00 | 0.02 | 2.00 | 10.87 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 110.87 |
| 90 | 财达证券 | 100.00 | 0.00 | 0.57 | 10.81 | 4.92 | 0.45 | 2.00 | 18.75 | 8.00 | 0.00 | 0.67 | 0.00 | 0.00 | 0.00 | 8.67 | 110.08 |
| 91 | 华金证券 | 100.00 | 0.00 | 0.00 | 10.29 | 0.00 | 0.10 | 2.00 | 12.38 | 2.50 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.50 | 109.88 |
| 92 | 中金财富 | 100.00 | 0.00 | 0.00 | 10.03 | 0.00 | 1.46 | 2.20 | 13.69 | 0.00 | 0.00 | 0.67 | 0.00 | 0.00 | 4.00 | 4.67 | 109.02 |
| 93 | 网信证券 | 100.00 | 0.00 | 0.00 | 9.42 | 0.00 | 0.02 | 2.00 | 11.44 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.00 | 4.00 | 107.44 |
| 94 | 甬兴证券 | 100.00 | 0.00 | 0.00 | 2.01 | 0.00 | 0.08 | 2.00 | 4.09 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 104.09 |
| 95 | 金圆统一 | 100.00 | 0.00 | 0.00 | 2.01 | 0.00 | 0.00 | 2.00 | 4.01 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 104.01 |
| 96 | 东亚前海 | 100.00 | 0.00 | 0.00 | 2.01 | 0.00 | 0.00 | 2.00 | 4.01 | 0.00 | 0.00 | 1.33 | 0.00 | 0.00 | 0.00 | 1.33 | 102.68 |
| 97 | 中天证券 | 100.00 | 0.00 | 0.00 | 0.00 | 0.96 | 0.27 | 2.00 | 3.23 | 0.00 | 0.00 | 0.00 | 0.80 | 0.00 | 0.00 | 0.80 | 102.43 |
| 98 | 华宝证券 | 100.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.27 | 2.00 | 2.27 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 102.27 |
| 99 | 瑞银证券 | 100.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.00 | 2.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 102.00 |

注：108家主办券商中，9家证券公司子公司纳入其母公司合并评价。其中，中信证券（山东）与母公司中信证券、东方证券承销保荐与母公司东方证券、方正证券承销保荐与母公司方正证券、华英证券与母公司国联证券、申万宏源承销保荐和申万宏源西部证券与母公司申万宏源证券、华泰联合证券与母公司华泰证券、第一创业承销保荐与第一创业证券、恒泰长财证券与恒泰证券合并评价。