股转系统公告〔2021〕767号附件

2021年第二季度主办券商执业质量评价结果

| **序号** | **券商简称** | **基础分值** | **专业质量得分** | **合规质量扣分** | **合计分值** |
| --- | --- | --- | --- | --- | --- |
| **推荐挂牌业务** | **发行并购业务** | **持续督导业务** | **做市业务** | **经纪业务** | **综合业务** | **专业质量得分合计** | **推荐挂牌业务** | **发行并购业务** | **持续督导业务** | **做市业务** | **经纪业务** | **综合业务** | **合规质量扣分合计** |
| 1 | 开源证券 | 100.00  | 20.00  | 9.88  | 18.82  | 12.12  | 0.24  | 3.00  | 64.07  | 0.00  | 0.00  | 1.33  | 0.27  | 0.00  | 0.00  | 1.60  | 162.47  |
| 2 | 安信证券 | 100.00  | 20.00  | 7.86  | 14.12  | 12.59  | 2.26  | 6.00  | 62.83  | 0.00  | 0.00  | 4.00  | 0.00  | 0.00  | 0.00  | 4.00  | 158.83  |
| 3 | 申万宏源 | 100.00  | 0.00  | 10.30  | 19.78  | 11.52  | 5.24  | 2.51  | 49.35  | 0.00  | 0.00  | 1.33  | 0.00  | 0.00  | 0.00  | 1.33  | 148.02  |
| 4 | 九州证券 | 100.00  | 20.00  | 2.58  | 9.48  | 13.61  | 0.13  | 2.20  | 48.01  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 148.01  |
| 5 | 东北证券 | 100.00  | 20.00  | 2.55  | 12.97  | 10.25  | 0.85  | 2.00  | 48.62  | 2.00  | 4.00  | 1.33  | 0.00  | 0.00  | 0.00  | 7.33  | 141.29  |
| 6 | 西部证券 | 100.00  | 20.00  | 3.24  | 12.05  | 3.63  | 0.47  | 2.00  | 41.39  | 0.00  | 0.00  | 1.33  | 0.00  | 0.00  | 0.00  | 1.33  | 140.06  |
| 7 | 东兴证券 | 100.00  | 20.00  | 0.00  | 11.17  | 0.00  | 0.64  | 2.00  | 33.81  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 133.81  |
| 8 | 华创证券 | 100.00  | 20.00  | 0.97  | 10.41  | 2.70  | 0.26  | 2.20  | 36.54  | 4.00  | 2.00  | 0.00  | 0.00  | 0.00  | 0.00  | 6.00  | 130.54  |
| 9 | 天风证券 | 100.00  | 0.00  | 5.80  | 12.17  | 9.83  | 0.36  | 2.00  | 30.16  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 130.16  |
| 10 | 华西证券 | 100.00  | 15.00  | 0.00  | 10.52  | 1.34  | 0.83  | 2.00  | 29.69  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 129.69  |
| 11 | 中泰证券 | 100.00  | 0.00  | 0.47  | 14.29  | 9.27  | 2.69  | 2.20  | 28.92  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 128.92  |
| 12 | 广发证券 | 100.00  | 0.00  | 0.67  | 10.30  | 9.82  | 4.66  | 3.40  | 28.84  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 128.84  |
| 13 | 山西证券 | 100.00  | 10.00  | 2.31  | 11.18  | 2.89  | 0.36  | 2.00  | 28.74  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 128.74  |
| 14 | 国泰君安 | 100.00  | 0.00  | 1.17  | 11.10  | 7.28  | 5.09  | 3.92  | 28.56  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 128.56  |
| 15 | 海通证券 | 100.00  | 0.00  | 3.37  | 11.53  | 6.69  | 6.18  | 2.52  | 30.29  | 0.00  | 0.00  | 0.67  | 2.40  | 0.00  | 0.00  | 3.07  | 127.22  |
| 16 | 金元证券 | 100.00  | 10.00  | 0.00  | 10.45  | 4.26  | 0.43  | 2.00  | 27.13  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 127.13  |
| 17 | 财通证券 | 100.00  | 0.00  | 6.20  | 12.26  | 5.81  | 1.61  | 2.20  | 28.09  | 0.00  | 0.00  | 1.33  | 0.00  | 0.00  | 0.00  | 1.33  | 126.75  |
| 18 | 兴业证券 | 100.00  | 0.00  | 1.97  | 12.52  | 3.38  | 2.38  | 5.12  | 25.38  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 125.38  |
| 19 | 银河证券 | 100.00  | 0.00  | 0.00  | 10.44  | 7.79  | 4.89  | 2.00  | 25.13  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 125.13  |
| 20 | 国融证券 | 100.00  | 10.00  | 3.52  | 13.87  | 0.00  | 0.13  | 2.00  | 29.52  | 4.00  | 0.00  | 0.67  | 0.00  | 0.00  | 0.00  | 4.67  | 124.86  |
| 21 | 华泰证券 | 100.00  | 0.00  | 0.00  | 10.20  | 4.19  | 8.28  | 2.00  | 24.67  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 124.67  |
| 22 | 上海证券 | 100.00  | 0.00  | 1.48  | 10.59  | 8.96  | 0.61  | 2.49  | 24.13  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 124.13  |
| 23 | 东莞证券 | 100.00  | 0.00  | 3.65  | 11.98  | 4.57  | 1.22  | 2.55  | 23.97  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 123.97  |
| 24 | 中信建投 | 100.00  | 0.00  | 0.95  | 12.37  | 4.01  | 4.33  | 2.80  | 24.45  | 0.00  | 0.00  | 1.33  | 0.00  | 0.00  | 0.00  | 1.33  | 123.12  |
| 25 | 东海证券 | 100.00  | 10.00  | 0.43  | 10.19  | 0.00  | 2.25  | 2.20  | 25.07  | 0.00  | 0.00  | 2.00  | 0.00  | 0.00  | 0.00  | 2.00  | 123.07  |
| 26 | 首创证券 | 100.00  | 0.00  | 1.65  | 11.86  | 7.72  | 0.40  | 2.00  | 23.62  | 0.00  | 0.00  | 0.00  | 0.80  | 0.00  | 0.00  | 0.80  | 122.82  |
| 27 | 长江证券 | 100.00  | 0.00  | 0.95  | 13.93  | 6.84  | 2.06  | 2.20  | 25.98  | 0.00  | 4.00  | 0.00  | 0.00  | 0.00  | 0.00  | 4.00  | 121.98  |
| 28 | 东吴证券 | 100.00  | 0.00  | 2.53  | 14.41  | 3.32  | 1.31  | 2.00  | 23.57  | 0.00  | 0.00  | 2.00  | 0.00  | 0.00  | 0.00  | 2.00  | 121.57  |
| 29 | 中信证券 | 100.00  | 0.00  | 0.86  | 10.43  | 4.78  | 5.86  | 2.20  | 24.13  | 0.00  | 0.00  | 2.67  | 0.00  | 0.00  | 0.00  | 2.67  | 121.46  |
| 30 | 西南证券 | 100.00  | 0.00  | 3.56  | 12.04  | 3.59  | 0.70  | 2.69  | 22.57  | 0.00  | 0.00  | 1.33  | 0.00  | 0.00  | 0.00  | 1.33  | 121.24  |
| 31 | 中山证券 | 100.00  | 0.00  | 0.00  | 9.94  | 8.05  | 0.36  | 2.40  | 20.75  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 120.75  |
| 32 | 中航证券 | 100.00  | 10.00  | 0.00  | 10.16  | 2.03  | 0.31  | 2.00  | 24.50  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 4.00  | 4.00  | 120.50  |
| 33 | 光大证券 | 100.00  | 0.00  | 2.52  | 11.74  | 0.01  | 2.88  | 3.27  | 20.41  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 120.41  |
| 34 | 浙商证券 | 100.00  | 0.00  | 0.67  | 11.45  | 4.93  | 1.14  | 4.00  | 22.19  | 0.00  | 0.00  | 2.00  | 0.00  | 0.00  | 0.00  | 2.00  | 120.19  |
| 35 | 平安证券 | 100.00  | 0.00  | 0.00  | 10.57  | 3.68  | 3.71  | 2.00  | 19.96  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 119.96  |
| 36 | 方正证券 | 100.00  | 0.00  | 2.84  | 11.31  | 2.70  | 2.18  | 2.00  | 21.03  | 0.00  | 0.00  | 1.33  | 0.00  | 0.00  | 0.00  | 1.33  | 119.70  |
| 37 | 国信证券 | 100.00  | 0.00  | 0.56  | 11.31  | 5.03  | 3.47  | 2.53  | 22.89  | 0.00  | 0.00  | 3.33  | 0.00  | 0.00  | 0.00  | 3.33  | 119.56  |
| 38 | 华福证券 | 100.00  | 0.00  | 0.80  | 10.50  | 3.22  | 1.26  | 3.76  | 19.54  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 119.54  |
| 39 | 粤开证券 | 100.00  | 0.00  | 2.00  | 10.06  | 5.05  | 0.48  | 3.54  | 21.14  | 0.00  | 0.00  | 0.00  | 1.60  | 0.00  | 0.00  | 1.60  | 119.54  |
| 40 | 东方财富 | 100.00  | 0.00  | 0.00  | 10.27  | 0.00  | 6.81  | 2.40  | 19.48  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 119.48  |
| 41 | 国联证券 | 100.00  | 0.00  | 2.81  | 11.30  | 2.65  | 0.61  | 2.00  | 19.37  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 119.37  |
| 42 | 国海证券 | 100.00  | 0.00  | 2.73  | 10.60  | 4.12  | 0.53  | 2.00  | 19.99  | 0.00  | 0.00  | 0.67  | 0.00  | 0.00  | 0.00  | 0.67  | 119.32  |
| 43 | 第一创业 | 100.00  | 0.00  | 1.29  | 10.26  | 4.30  | 0.53  | 2.40  | 18.77  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 118.77  |
| 44 | 民生证券 | 100.00  | 0.00  | 1.45  | 10.80  | 4.04  | 0.23  | 2.00  | 18.52  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 118.52  |
| 45 | 招商证券 | 100.00  | 0.00  | 0.00  | 10.45  | 0.92  | 4.66  | 2.60  | 18.63  | 0.00  | 0.00  | 0.67  | 0.00  | 0.00  | 0.00  | 0.67  | 117.97  |
| 46 | 联储证券 | 100.00  | 0.00  | 0.44  | 9.57  | 5.75  | 0.16  | 2.00  | 17.92  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 117.92  |
| 47 | 恒泰证券 | 100.00  | 0.00  | 3.30  | 11.99  | 3.72  | 0.61  | 2.20  | 21.82  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 4.00  | 4.00  | 117.82  |
| 48 | 太平洋证券 | 100.00  | 0.00  | 0.00  | 11.13  | 4.32  | 0.18  | 2.00  | 17.62  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 117.62  |
| 49 | 财信证券 | 100.00  | 0.00  | 1.83  | 10.42  | 3.45  | 0.39  | 2.20  | 18.29  | 0.00  | 0.00  | 1.33  | 0.00  | 0.00  | 0.00  | 1.33  | 116.96  |
| 50 | 国金证券 | 100.00  | 0.00  | 0.63  | 10.75  | 3.58  | 1.65  | 2.20  | 18.80  | 0.00  | 0.00  | 1.33  | 0.80  | 0.00  | 0.00  | 2.13  | 116.67  |
| 51 | 华安证券 | 100.00  | 0.00  | 0.61  | 10.57  | 2.57  | 0.67  | 2.14  | 16.56  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 116.56  |
| 52 | 中原证券 | 100.00  | 0.00  | 0.48  | 10.68  | 2.54  | 0.80  | 2.00  | 16.49  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 116.49  |
| 53 | 德邦证券 | 100.00  | 0.00  | 0.00  | 9.99  | 2.58  | 0.22  | 3.70  | 16.49  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 116.49  |
| 54 | 银泰证券 | 100.00  | 0.00  | 0.00  | 8.57  | 3.24  | 0.09  | 4.40  | 16.29  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 116.29  |
| 55 | 湘财证券 | 100.00  | 0.00  | 0.67  | 10.50  | 2.50  | 0.60  | 2.00  | 16.27  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 116.27  |
| 56 | 国都证券 | 100.00  | 0.00  | 0.00  | 10.09  | 3.15  | 0.46  | 2.00  | 15.69  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 115.69  |
| 57 | 国元证券 | 100.00  | 0.00  | 0.63  | 11.42  | 3.81  | 0.86  | 2.20  | 18.91  | 0.00  | 0.00  | 3.33  | 0.00  | 0.00  | 0.00  | 3.33  | 115.58  |
| 58 | 国盛证券 | 100.00  | 0.00  | 0.67  | 10.12  | 2.80  | 0.58  | 2.00  | 16.16  | 0.00  | 0.00  | 0.67  | 0.00  | 0.00  | 0.00  | 0.67  | 115.50  |
| 59 | 华林证券 | 100.00  | 0.00  | 0.52  | 10.00  | 2.62  | 0.24  | 2.00  | 15.37  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 115.37  |
| 60 | 长城证券 | 100.00  | 0.00  | 0.00  | 10.20  | 2.47  | 0.93  | 2.20  | 15.79  | 0.00  | 0.00  | 0.67  | 0.00  | 0.00  | 0.00  | 0.67  | 115.13  |
| 61 | 大通证券 | 100.00  | 0.00  | 0.00  | 9.50  | 3.21  | 0.10  | 2.00  | 14.82  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 114.82  |
| 62 | 中金公司 | 100.00  | 0.00  | 1.29  | 8.77  | 2.16  | 0.59  | 2.00  | 14.81  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 114.81  |
| 63 | 五矿证券 | 100.00  | 0.00  | 2.30  | 10.20  | 0.00  | 0.16  | 2.00  | 14.66  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 114.66  |
| 64 | 南京证券 | 100.00  | 0.00  | 0.00  | 10.32  | 3.40  | 0.46  | 2.00  | 16.18  | 0.00  | 0.00  | 2.00  | 0.00  | 0.00  | 0.00  | 2.00  | 114.18  |
| 65 | 华龙证券 | 100.00  | 0.00  | 1.02  | 10.37  | 1.75  | 0.17  | 2.00  | 15.32  | 0.00  | 0.00  | 1.33  | 0.00  | 0.00  | 0.00  | 1.33  | 113.98  |
| 66 | 大同证券 | 100.00  | 0.00  | 1.77  | 9.94  | 0.00  | 0.10  | 2.00  | 13.80  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 113.80  |
| 67 | 新时代证券 | 100.00  | 0.00  | 0.47  | 10.72  | 0.00  | 0.18  | 2.20  | 13.57  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 113.57  |
| 68 | 华鑫证券 | 100.00  | 0.00  | 0.00  | 9.84  | 1.14  | 0.53  | 2.00  | 13.51  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 113.51  |
| 69 | 爱建证券 | 100.00  | 0.00  | 0.00  | 9.32  | 1.54  | 0.32  | 2.20  | 13.38  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 113.38  |
| 70 | 英大证券 | 100.00  | 0.00  | 0.00  | 9.58  | 1.50  | 0.11  | 2.00  | 13.19  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 113.19  |
| 71 | 东方证券 | 100.00  | 0.00  | 0.00  | 9.31  | 5.05  | 2.09  | 2.00  | 18.45  | 0.00  | 0.00  | 1.33  | 0.00  | 0.00  | 4.00  | 5.33  | 113.12  |
| 72 | 中天国富 | 100.00  | 0.00  | 0.67  | 10.37  | 0.00  | 0.03  | 2.00  | 13.07  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 113.07  |
| 73 | 江海证券 | 100.00  | 0.00  | 0.00  | 10.91  | 3.77  | 0.25  | 2.00  | 16.93  | 4.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 4.00  | 112.93  |
| 74 | 信达证券 | 100.00  | 0.00  | 0.67  | 9.31  | 0.00  | 0.85  | 2.00  | 12.83  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 112.83  |
| 75 | 万联证券 | 100.00  | 0.00  | 0.00  | 10.47  | 0.00  | 0.35  | 2.00  | 12.81  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 112.81  |
| 76 | 红塔证券 | 100.00  | 0.00  | 0.00  | 10.12  | 4.40  | 0.12  | 2.00  | 16.65  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 4.00  | 4.00  | 112.65  |
| 77 | 华融证券 | 100.00  | 0.00  | 0.00  | 10.24  | 0.00  | 0.37  | 2.00  | 12.61  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 112.61  |
| 78 | 中银证券 | 100.00  | 0.00  | 0.00  | 9.66  | 0.00  | 0.74  | 2.00  | 12.40  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 112.40  |
| 79 | 世纪证券 | 100.00  | 0.00  | 0.00  | 10.13  | 0.00  | 0.16  | 2.00  | 12.29  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 112.29  |
| 80 | 川财证券 | 100.00  | 0.00  | 0.00  | 9.83  | 0.00  | 0.10  | 2.24  | 12.18  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 112.18  |
| 81 | 宏信证券 | 100.00  | 0.00  | 0.00  | 10.07  | 0.00  | 0.08  | 2.00  | 12.15  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 112.15  |
| 82 | 华兴证券 | 100.00  | 0.00  | 0.00  | 10.02  | 0.00  | 0.00  | 2.00  | 12.02  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 112.02  |
| 83 | 长城国瑞 | 100.00  | 0.00  | 0.00  | 9.73  | 0.00  | 0.09  | 2.00  | 11.82  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 111.82  |
| 84 | 申港证券 | 100.00  | 0.00  | 0.00  | 9.61  | 0.00  | 0.04  | 2.00  | 11.64  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 111.64  |
| 85 | 中信华南 | 100.00  | 0.00  | 0.00  | 8.00  | 0.00  | 0.60  | 2.84  | 11.43  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 111.43  |
| 86 | 中邮证券 | 100.00  | 0.00  | 0.00  | 9.19  | 0.00  | 0.05  | 2.00  | 11.24  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 111.24  |
| 87 | 万和证券 | 100.00  | 0.00  | 0.00  | 10.08  | 3.00  | 0.11  | 2.00  | 15.19  | 0.00  | 0.00  | 0.00  | 4.00  | 0.00  | 0.00  | 4.00  | 111.19  |
| 88 | 渤海证券 | 100.00  | 0.00  | 0.00  | 9.71  | 3.22  | 0.23  | 2.00  | 15.16  | 0.00  | 0.00  | 4.00  | 0.00  | 0.00  | 0.00  | 4.00  | 111.16  |
| 89 | 国开证券 | 100.00  | 0.00  | 0.00  | 8.86  | 0.00  | 0.02  | 2.00  | 10.87  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 110.87  |
| 90 | 财达证券 | 100.00  | 0.00  | 0.57  | 10.81  | 4.92  | 0.45  | 2.00  | 18.75  | 8.00  | 0.00  | 0.67  | 0.00  | 0.00  | 0.00  | 8.67  | 110.08  |
| 91 | 华金证券 | 100.00  | 0.00  | 0.00  | 10.29  | 0.00  | 0.10  | 2.00  | 12.38  | 2.50  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 2.50  | 109.88  |
| 92 | 中金财富 | 100.00  | 0.00  | 0.00  | 10.03  | 0.00  | 1.46  | 2.20  | 13.69  | 0.00  | 0.00  | 0.67  | 0.00  | 0.00  | 4.00  | 4.67  | 109.02  |
| 93 | 网信证券 | 100.00  | 0.00  | 0.00  | 9.42  | 0.00  | 0.02  | 2.00  | 11.44  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 4.00  | 4.00  | 107.44  |
| 94 | 甬兴证券 | 100.00  | 0.00  | 0.00  | 2.01  | 0.00  | 0.08  | 2.00  | 4.09  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 104.09  |
| 95 | 金圆统一 | 100.00  | 0.00  | 0.00  | 2.01  | 0.00  | 0.00  | 2.00  | 4.01  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 104.01  |
| 96 | 东亚前海 | 100.00  | 0.00  | 0.00  | 2.01  | 0.00  | 0.00  | 2.00  | 4.01  | 0.00  | 0.00  | 1.33  | 0.00  | 0.00  | 0.00  | 1.33  | 102.68  |
| 97 | 中天证券 | 100.00  | 0.00  | 0.00  | 0.00  | 0.96  | 0.27  | 2.00  | 3.23  | 0.00  | 0.00  | 0.00  | 0.80  | 0.00  | 0.00  | 0.80  | 102.43  |
| 98 | 华宝证券 | 100.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.27  | 2.00  | 2.27  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 102.27  |
| 99 | 瑞银证券 | 100.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 2.00  | 2.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 0.00  | 102.00  |

 注：108家主办券商中，9家证券公司子公司纳入其母公司合并评价。其中，中信证券（山东）与母公司中信证券、东方证券承销保荐与母公司东方证券、方正证券承销保荐与母公司方正证券、华英证券与母公司国联证券、申万宏源承销保荐和申万宏源西部证券与母公司申万宏源证券、华泰联合证券与母公司华泰证券、第一创业承销保荐与第一创业证券、恒泰长财证券与恒泰证券合并评价。