股转系统公告〔2021〕465号附件

2021年第一季度主办券商执业质量评价结果

| **序号** | **券商简称** | **基础分值** | **专业质量得分** | | | | | | | **合规质量扣分** | | | | | | | **合计分值** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **推荐挂牌业务** | **发行并购业务** | **持续督导业务** | **做市业务** | **经纪业务** | **综合业务** | **专业质量得分合计** | **推荐挂牌业务** | **发行并购业务** | **持续督导业务** | **做市业务** | **经纪业务** | **综合业务** | **合规质量扣分合计** |
| 1 | 开源证券 | 100.00 | 20.00 | 12.40 | 18.57 | 10.84 | 1.32 | 3.25 | 66.38 | 0.00 | 0.00 | 1.60 | 4.00 | 0.00 | 0.00 | 5.60 | 160.78 |
| 2 | 申万宏源 | 100.00 | 10.00 | 13.00 | 19.80 | 11.64 | 4.19 | 4.60 | 63.24 | 0.00 | 0.00 | 5.60 | 0.00 | 0.00 | 0.00 | 5.60 | 157.64 |
| 3 | 安信证券 | 100.00 | 5.00 | 6.07 | 14.77 | 11.02 | 2.92 | 6.00 | 45.79 | 0.00 | 0.00 | 3.20 | 0.00 | 0.00 | 0.00 | 3.20 | 142.59 |
| 4 | 中泰证券 | 100.00 | 0.00 | 3.68 | 14.43 | 9.27 | 2.51 | 3.35 | 33.24 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 133.24 |
| 5 | 东北证券 | 100.00 | 5.00 | 2.47 | 13.11 | 8.57 | 1.70 | 2.00 | 32.85 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 132.85 |
| 6 | 财通证券 | 100.00 | 0.00 | 8.05 | 12.66 | 6.04 | 1.28 | 2.25 | 30.28 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 130.28 |
| 7 | 广发证券 | 100.00 | 0.00 | 0.00 | 10.47 | 10.35 | 4.05 | 3.67 | 28.54 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 128.54 |
| 8 | 九州证券 | 100.00 | 0.00 | 0.93 | 10.03 | 13.57 | 1.66 | 2.25 | 28.44 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 128.44 |
| 9 | 长江证券 | 100.00 | 2.50 | 3.72 | 14.01 | 6.28 | 1.43 | 2.74 | 30.69 | 0.00 | 0.00 | 2.40 | 0.00 | 0.00 | 0.00 | 2.40 | 128.29 |
| 10 | 兴业证券 | 100.00 | 0.00 | 2.57 | 13.07 | 3.36 | 2.12 | 6.55 | 27.67 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 127.67 |
| 11 | 东莞证券 | 100.00 | 2.50 | 2.43 | 12.45 | 4.59 | 1.01 | 5.54 | 28.52 | 0.00 | 0.00 | 1.60 | 0.00 | 0.00 | 0.00 | 1.60 | 126.92 |
| 12 | 国泰君安 | 100.00 | 0.00 | 0.58 | 11.44 | 7.41 | 4.38 | 3.00 | 26.81 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 126.81 |
| 13 | 中信建投 | 100.00 | 5.00 | 2.74 | 12.76 | 3.92 | 4.79 | 3.00 | 32.21 | 0.00 | 4.00 | 1.60 | 0.00 | 0.00 | 0.00 | 5.60 | 126.61 |
| 14 | 国融证券 | 100.00 | 5.00 | 5.11 | 14.31 | 0.00 | 0.06 | 2.00 | 26.48 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 126.48 |
| 15 | 天风证券 | 100.00 | 0.00 | 2.50 | 12.30 | 9.12 | 0.44 | 2.00 | 26.37 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 126.37 |
| 16 | 银河证券 | 100.00 | 5.00 | 1.62 | 10.86 | 5.98 | 3.48 | 2.00 | 28.94 | 0.00 | 2.00 | 1.60 | 0.00 | 0.00 | 0.00 | 3.60 | 125.34 |
| 17 | 华泰证券 | 100.00 | 0.00 | 0.00 | 10.03 | 4.42 | 8.68 | 2.00 | 25.13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 125.13 |
| 18 | 东吴证券 | 100.00 | 2.50 | 1.31 | 14.59 | 3.47 | 1.22 | 2.00 | 25.09 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 125.09 |
| 19 | 招商证券 | 100.00 | 5.00 | 2.13 | 11.08 | 1.04 | 3.34 | 2.75 | 25.33 | 0.00 | 0.00 | 0.80 | 0.00 | 0.00 | 0.00 | 0.80 | 124.53 |
| 20 | 民生证券 | 100.00 | 7.50 | 0.93 | 10.42 | 4.51 | 0.23 | 2.00 | 25.60 | 0.00 | 0.00 | 0.00 | 0.15 | 0.00 | 2.00 | 2.15 | 123.45 |
| 21 | 海通证券 | 100.00 | 7.50 | 0.64 | 11.67 | 6.56 | 4.43 | 2.50 | 33.30 | 8.00 | 0.00 | 1.60 | 0.44 | 0.00 | 0.00 | 10.04 | 123.26 |
| 22 | 华安证券 | 100.00 | 7.50 | 1.38 | 10.71 | 2.62 | 0.52 | 2.74 | 25.47 | 0.00 | 0.00 | 2.40 | 0.00 | 0.00 | 0.00 | 2.40 | 123.07 |
| 23 | 山西证券 | 100.00 | 2.50 | 3.93 | 11.43 | 2.83 | 0.24 | 2.00 | 22.93 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 122.93 |
| 24 | 光大证券 | 100.00 | 0.00 | 2.25 | 12.21 | 5.97 | 2.74 | 3.47 | 26.63 | 0.00 | 4.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.00 | 122.63 |
| 25 | 国信证券 | 100.00 | 0.00 | 0.00 | 11.56 | 5.17 | 2.89 | 2.74 | 22.36 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 122.36 |
| 26 | 首创证券 | 100.00 | 0.00 | 1.50 | 12.06 | 7.74 | 0.45 | 2.21 | 23.96 | 0.00 | 0.00 | 1.60 | 0.00 | 0.00 | 0.00 | 1.60 | 122.36 |
| 27 | 中信证券 | 100.00 | 0.00 | 3.77 | 10.27 | 5.29 | 4.62 | 2.25 | 26.20 | 0.00 | 0.00 | 0.00 | 0.00 | 4.00 | 0.00 | 4.00 | 122.20 |
| 28 | 中山证券 | 100.00 | 0.00 | 0.00 | 10.27 | 8.75 | 0.43 | 2.50 | 21.95 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 121.95 |
| 29 | 平安证券 | 100.00 | 2.50 | 0.00 | 10.62 | 3.78 | 2.78 | 2.00 | 21.68 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 121.68 |
| 30 | 粤开证券 | 100.00 | 0.00 | 0.60 | 10.56 | 5.23 | 0.48 | 4.54 | 21.41 | 0.00 | 0.00 | 0.00 | 0.44 | 0.00 | 0.00 | 0.44 | 120.96 |
| 31 | 西南证券 | 100.00 | 2.50 | 0.66 | 12.47 | 3.45 | 0.49 | 2.61 | 22.19 | 0.00 | 0.00 | 0.80 | 0.44 | 0.00 | 0.00 | 1.24 | 120.94 |
| 32 | 上海证券 | 100.00 | 0.00 | 0.00 | 10.70 | 8.27 | 0.62 | 3.04 | 22.63 | 0.00 | 0.00 | 0.00 | 0.00 | 2.00 | 0.00 | 2.00 | 120.63 |
| 33 | 浙商证券 | 100.00 | 0.00 | 1.25 | 11.60 | 4.89 | 0.92 | 4.00 | 22.67 | 0.00 | 0.00 | 1.60 | 0.44 | 0.00 | 0.00 | 2.04 | 120.62 |
| 34 | 国元证券 | 100.00 | 0.00 | 2.56 | 11.39 | 3.80 | 0.73 | 2.00 | 20.48 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 120.48 |
| 35 | 恒泰证券 | 100.00 | 2.50 | 1.25 | 11.72 | 2.32 | 0.58 | 2.00 | 20.38 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 120.38 |
| 36 | 方正证券 | 100.00 | 0.00 | 1.59 | 11.86 | 3.06 | 1.79 | 2.00 | 20.30 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 120.30 |
| 37 | 西部证券 | 100.00 | 2.50 | 3.25 | 12.29 | 3.59 | 0.37 | 2.00 | 23.99 | 0.00 | 0.00 | 4.00 | 0.00 | 0.00 | 0.00 | 4.00 | 119.99 |
| 38 | 财信证券 | 100.00 | 0.00 | 4.42 | 10.78 | 3.59 | 0.34 | 2.55 | 21.69 | 0.00 | 2.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.00 | 119.69 |
| 39 | 江海证券 | 100.00 | 2.50 | 0.00 | 10.98 | 3.69 | 0.24 | 2.00 | 19.42 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 119.42 |
| 40 | 太平洋证券 | 100.00 | 0.00 | 2.00 | 11.11 | 3.84 | 0.18 | 2.00 | 19.13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 119.13 |
| 41 | 东方财富 | 100.00 | 0.00 | 0.62 | 9.51 | 0.00 | 6.02 | 2.50 | 18.65 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 118.65 |
| 42 | 国联证券 | 100.00 | 0.00 | 1.61 | 11.43 | 2.95 | 0.52 | 2.00 | 18.51 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 118.51 |
| 43 | 财达证券 | 100.00 | 0.00 | 0.00 | 10.92 | 4.72 | 0.31 | 2.00 | 17.95 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 117.95 |
| 44 | 国海证券 | 100.00 | 0.00 | 0.66 | 10.67 | 4.18 | 0.41 | 2.00 | 17.92 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 117.92 |
| 45 | 红塔证券 | 100.00 | 0.00 | 0.00 | 10.12 | 5.21 | 0.26 | 2.00 | 17.59 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 117.59 |
| 46 | 东方证券 | 100.00 | 0.00 | 1.00 | 10.04 | 5.09 | 1.39 | 2.00 | 19.52 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.00 | 2.00 | 117.52 |
| 47 | 华福证券 | 100.00 | 0.00 | 0.00 | 10.24 | 3.38 | 1.12 | 3.43 | 18.17 | 0.00 | 0.00 | 0.00 | 0.89 | 0.00 | 0.00 | 0.89 | 117.28 |
| 48 | 湘财证券 | 100.00 | 0.00 | 1.15 | 10.74 | 2.79 | 0.54 | 2.00 | 17.22 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 117.22 |
| 49 | 华创证券 | 100.00 | 0.00 | 0.66 | 10.71 | 3.38 | 0.16 | 2.00 | 16.91 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 116.91 |
| 50 | 南京证券 | 100.00 | 0.00 | 0.00 | 10.72 | 3.43 | 0.62 | 2.00 | 16.77 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 116.77 |
| 51 | 第一创业 | 100.00 | 0.00 | 0.00 | 10.28 | 4.59 | 0.63 | 2.94 | 18.44 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.00 | 2.00 | 116.44 |
| 52 | 联储证券 | 100.00 | 0.00 | 0.00 | 9.25 | 4.75 | 0.16 | 2.00 | 16.16 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 116.16 |
| 53 | 国都证券 | 100.00 | 0.00 | 0.00 | 10.60 | 3.11 | 0.34 | 2.00 | 16.05 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 116.05 |
| 54 | 万和证券 | 100.00 | 0.00 | 0.75 | 10.07 | 2.64 | 0.30 | 2.00 | 15.76 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 115.76 |
| 55 | 长城证券 | 100.00 | 0.00 | 0.00 | 10.24 | 2.64 | 0.79 | 2.00 | 15.67 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 115.67 |
| 56 | 国盛证券 | 100.00 | 0.00 | 0.00 | 10.37 | 2.91 | 0.34 | 2.00 | 15.61 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 115.61 |
| 57 | 大通证券 | 100.00 | 0.00 | 0.00 | 9.86 | 3.65 | 0.09 | 2.00 | 15.59 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 115.59 |
| 58 | 渤海证券 | 100.00 | 0.00 | 0.00 | 10.29 | 3.05 | 0.23 | 2.00 | 15.57 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 115.57 |
| 59 | 中原证券 | 100.00 | 0.00 | 0.00 | 10.96 | 2.16 | 0.40 | 2.00 | 15.52 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 115.52 |
| 60 | 华西证券 | 100.00 | 0.00 | 0.92 | 10.70 | 1.35 | 0.53 | 2.00 | 15.51 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 115.51 |
| 61 | 万联证券 | 100.00 | 0.00 | 2.15 | 10.84 | 0.00 | 0.34 | 2.00 | 15.33 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 115.33 |
| 62 | 华龙证券 | 100.00 | 0.00 | 0.66 | 10.84 | 1.68 | 0.12 | 2.00 | 15.30 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 115.30 |
| 63 | 德邦证券 | 100.00 | 0.00 | 0.00 | 10.26 | 3.13 | 0.20 | 3.96 | 17.55 | 0.00 | 0.00 | 0.00 | 0.44 | 0.00 | 2.00 | 2.44 | 115.11 |
| 64 | 东海证券 | 100.00 | 0.00 | 1.21 | 10.35 | 0.00 | 1.27 | 2.25 | 15.08 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 115.08 |
| 65 | 中航证券 | 100.00 | 0.00 | 0.62 | 10.12 | 1.78 | 0.17 | 2.25 | 14.94 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 114.94 |
| 66 | 新时代证券 | 100.00 | 0.00 | 1.23 | 11.05 | 0.00 | 0.19 | 2.25 | 14.72 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 114.72 |
| 67 | 华融证券 | 100.00 | 0.00 | 0.00 | 10.60 | 5.19 | 0.21 | 2.00 | 18.00 | 0.00 | 2.00 | 1.60 | 0.00 | 0.00 | 0.00 | 3.60 | 114.40 |
| 68 | 五矿证券 | 100.00 | 0.00 | 1.13 | 10.44 | 0.00 | 0.10 | 2.00 | 13.67 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 113.67 |
| 69 | 银泰证券 | 100.00 | 0.00 | 0.00 | 8.70 | 2.83 | 0.11 | 2.00 | 13.64 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 113.64 |
| 70 | 中金财富 | 100.00 | 0.00 | 0.00 | 10.03 | 0.00 | 1.13 | 2.25 | 13.41 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 113.41 |
| 71 | 华鑫证券 | 100.00 | 0.00 | 0.00 | 10.03 | 1.05 | 0.31 | 2.00 | 13.39 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 113.39 |
| 72 | 东兴证券 | 100.00 | 0.00 | 1.21 | 11.15 | 0.00 | 0.62 | 2.00 | 14.99 | 0.00 | 0.00 | 1.60 | 0.00 | 0.00 | 0.00 | 1.60 | 113.39 |
| 73 | 金元证券 | 100.00 | 0.00 | 1.58 | 10.92 | 4.14 | 0.22 | 2.00 | 18.85 | 0.00 | 0.00 | 1.60 | 4.00 | 0.00 | 0.00 | 5.60 | 113.25 |
| 74 | 世纪证券 | 100.00 | 0.00 | 0.81 | 10.09 | 0.00 | 0.11 | 2.00 | 13.01 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 113.01 |
| 75 | 英大证券 | 100.00 | 0.00 | 0.00 | 9.42 | 1.44 | 0.09 | 2.00 | 12.95 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.95 |
| 76 | 川财证券 | 100.00 | 0.00 | 0.00 | 10.16 | 0.00 | 0.04 | 2.69 | 12.89 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.89 |
| 77 | 爱建证券 | 100.00 | 0.00 | 0.00 | 10.12 | 1.64 | 0.18 | 2.72 | 14.67 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.00 | 2.00 | 112.67 |
| 78 | 华金证券 | 100.00 | 0.00 | 0.00 | 10.09 | 0.00 | 0.13 | 2.00 | 12.22 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.22 |
| 79 | 网信证券 | 100.00 | 0.00 | 0.00 | 10.09 | 0.00 | 0.04 | 2.00 | 12.13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.13 |
| 80 | 宏信证券 | 100.00 | 0.00 | 0.00 | 10.03 | 0.00 | 0.09 | 2.00 | 12.11 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.11 |
| 81 | 国开证券 | 100.00 | 0.00 | 0.00 | 10.07 | 0.00 | 0.04 | 2.00 | 12.11 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.11 |
| 82 | 长城国瑞 | 100.00 | 0.00 | 0.00 | 10.02 | 0.00 | 0.06 | 2.00 | 12.09 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.09 |
| 83 | 中邮证券 | 100.00 | 0.00 | 0.00 | 9.99 | 0.00 | 0.09 | 2.00 | 12.08 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.08 |
| 84 | 中天国富 | 100.00 | 0.00 | 0.00 | 9.89 | 0.00 | 0.01 | 2.00 | 11.90 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 111.90 |
| 85 | 中银证券 | 100.00 | 0.00 | 0.72 | 10.08 | 0.00 | 0.57 | 2.00 | 13.36 | 0.00 | 0.00 | 1.60 | 0.00 | 0.00 | 0.00 | 1.60 | 111.76 |
| 86 | 中信华南 | 100.00 | 0.00 | 0.00 | 9.85 | 0.00 | 0.46 | 3.03 | 13.35 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.00 | 2.00 | 111.35 |
| 87 | 信达证券 | 100.00 | 0.00 | 0.00 | 8.67 | 0.00 | 0.67 | 2.00 | 11.34 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 111.34 |
| 88 | 华林证券 | 100.00 | 0.00 | 0.00 | 10.06 | 2.85 | 0.14 | 2.00 | 15.04 | 0.00 | 0.00 | 0.00 | 4.00 | 0.00 | 0.00 | 4.00 | 111.04 |
| 89 | 中金公司 | 100.00 | 0.00 | 0.00 | 9.52 | 2.22 | 0.52 | 2.00 | 14.26 | 0.00 | 0.00 | 1.60 | 0.00 | 0.00 | 2.00 | 3.60 | 110.66 |
| 90 | 大同证券 | 100.00 | 0.00 | 0.00 | 10.39 | 0.00 | 0.08 | 2.00 | 12.47 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.00 | 2.00 | 110.47 |
| 91 | 申港证券 | 100.00 | 0.00 | 0.00 | 10.16 | 0.00 | 0.07 | 2.00 | 12.23 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.00 | 2.00 | 110.23 |
| 92 | 国金证券 | 100.00 | 0.00 | 0.60 | 10.92 | 3.54 | 1.58 | 2.25 | 18.89 | 8.00 | 0.00 | 0.00 | 0.44 | 0.00 | 2.00 | 10.44 | 108.44 |
| 93 | 华兴证券 | 100.00 | 0.00 | 0.00 | 10.02 | 0.00 | 0.00 | 2.00 | 12.02 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.00 | 4.00 | 108.02 |
| 94 | 中天证券 | 100.00 | 0.00 | 0.00 | 0.00 | 1.53 | 0.18 | 2.00 | 3.71 | 0.00 | 0.00 | 0.00 | 0.89 | 0.00 | 0.00 | 0.89 | 102.82 |
| 95 | 华宝证券 | 100.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.26 | 2.00 | 2.26 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 102.26 |
| 96 | 甬兴证券 | 100.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.04 | 2.00 | 2.04 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 102.04 |
| 97 | 东亚前海 | 100.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.00 | 2.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 102.00 |
| 98 | 金圆统一 | 100.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.00 | 2.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 102.00 |

注：107家主办券商中，9家证券公司子公司纳入其母公司合并评价。其中，中信证券（山东）与母公司中信证券、东方证券承销保荐与母公司东方证券、方正证券承销保荐与母公司方正证券、华英证券与母公司国联证券、申万宏源承销保荐和申万宏源西部证券与母公司申万宏源证券、华泰联合证券与母公司华泰证券、第一创业承销保荐与第一创业证券、恒泰长财证券与恒泰证券合并评价。