股转系统公告〔2020〕554号附件

2020年第二季度主办券商执业质量评价结果

| **序号** | **券商简称** | **基础分值** | **专业质量加分** | | | | | | | **合规质量扣分** | | | | | | | **评价分值** |
| --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- | --- |
| **推荐挂牌业务** | **发行并购业务** | **持续督导业务** | **做市业务** | **经纪业务** | **综合业务** | **合计** | **推荐挂牌业务** | **发行并购业务** | **持续督导业务** | **做市业务** | **经纪业务** | **综合业务** | **合计** |
| 1 | 开源证券 | 100.00 | 20.00 | 7.40 | 17.13 | 4.69 | 0.23 | 5.13 | 54.58 | 0.00 | 0.00 | 4.00 | 0.80 | 0.00 | 0.00 | 4.80 | 149.78 |
| 2 | 申万宏源 | 100.00 | 0.00 | 10.07 | 19.83 | 12.61 | 8.92 | 5.38 | 56.80 | 0.00 | 0.00 | 3.20 | 0.00 | 0.00 | 4.00 | 7.20 | 149.60 |
| 3 | 中泰证券 | 100.00 | 5.00 | 3.60 | 15.41 | 12.47 | 4.41 | 3.10 | 43.98 | 0.00 | 0.00 | 0.00 | 0.27 | 0.00 | 0.00 | 0.27 | 143.71 |
| 4 | 广发证券 | 100.00 | 0.00 | 6.23 | 10.81 | 11.23 | 7.16 | 3.46 | 38.89 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 138.89 |
| 5 | 国泰君安 | 100.00 | 0.00 | 1.06 | 11.96 | 11.57 | 7.53 | 4.00 | 36.12 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 136.12 |
| 6 | 安信证券 | 100.00 | 0.00 | 3.49 | 15.49 | 8.76 | 3.11 | 6.00 | 36.85 | 0.00 | 0.00 | 1.60 | 0.00 | 0.00 | 0.00 | 1.60 | 135.25 |
| 7 | 国融证券 | 100.00 | 15.00 | 5.21 | 14.45 | 3.14 | 0.20 | 2.00 | 40.01 | 2.00 | 2.00 | 4.00 | 0.00 | 0.00 | 0.00 | 8.00 | 132.01 |
| 8 | 长江证券 | 100.00 | 0.00 | 2.49 | 14.47 | 6.71 | 3.07 | 3.45 | 30.18 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 130.18 |
| 9 | 中信证券 | 100.00 | 0.00 | 0.38 | 10.39 | 8.66 | 8.03 | 2.68 | 30.14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 130.14 |
| 10 | 中信建投 | 100.00 | 0.00 | 3.55 | 13.44 | 3.22 | 5.98 | 3.51 | 29.70 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 129.70 |
| 11 | 海通证券 | 100.00 | 0.00 | 0.94 | 11.94 | 7.31 | 5.51 | 3.26 | 28.96 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 128.96 |
| 12 | 东吴证券 | 100.00 | 5.00 | 5.13 | 14.93 | 3.38 | 1.68 | 2.00 | 32.12 | 2.00 | 2.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.00 | 128.12 |
| 13 | 国信证券 | 100.00 | 0.00 | 0.91 | 11.89 | 6.52 | 5.01 | 3.30 | 27.62 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 127.62 |
| 14 | 财通证券 | 100.00 | 5.00 | 0.94 | 12.74 | 4.86 | 1.70 | 2.18 | 27.43 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 127.43 |
| 15 | 九州证券 | 100.00 | 0.00 | 0.86 | 9.94 | 11.95 | 1.84 | 2.18 | 26.77 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 126.77 |
| 16 | 中山证券 | 100.00 | 0.00 | 0.69 | 10.01 | 11.25 | 1.44 | 2.73 | 26.11 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 126.11 |
| 17 | 国元证券 | 100.00 | 5.00 | 1.27 | 11.59 | 4.14 | 1.09 | 2.82 | 25.91 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 125.91 |
| 18 | 首创证券 | 100.00 | 5.00 | 2.75 | 11.93 | 5.37 | 0.75 | 2.00 | 27.79 | 0.00 | 2.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.00 | 125.79 |
| 19 | 东莞证券 | 100.00 | 0.00 | 1.20 | 12.90 | 5.09 | 1.55 | 5.46 | 26.21 | 0.00 | 0.00 | 0.00 | 0.80 | 0.00 | 0.00 | 0.80 | 125.41 |
| 20 | 招商证券 | 100.00 | 0.00 | 1.56 | 13.34 | 2.01 | 5.89 | 2.55 | 25.35 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 125.35 |
| 21 | 东北证券 | 100.00 | 0.00 | 2.19 | 13.66 | 6.93 | 1.85 | 2.00 | 26.62 | 0.00 | 0.00 | 1.60 | 0.00 | 0.00 | 0.00 | 1.60 | 125.02 |
| 22 | 上海证券 | 100.00 | 0.00 | 0.68 | 11.01 | 11.85 | 2.41 | 2.92 | 28.86 | 0.00 | 0.00 | 0.00 | 4.00 | 0.00 | 0.00 | 4.00 | 124.86 |
| 23 | 兴业证券 | 100.00 | 0.00 | 3.58 | 13.56 | 4.00 | 2.68 | 2.55 | 26.37 | 0.00 | 0.00 | 1.60 | 0.00 | 0.00 | 0.00 | 1.60 | 124.77 |
| 24 | 西部证券 | 100.00 | 5.00 | 0.61 | 12.50 | 3.52 | 0.93 | 2.10 | 24.66 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 124.66 |
| 25 | 银河证券 | 100.00 | 0.00 | 5.96 | 10.72 | 5.46 | 5.72 | 2.00 | 29.86 | 0.00 | 2.00 | 3.20 | 0.00 | 0.00 | 0.00 | 5.20 | 124.66 |
| 26 | 天风证券 | 100.00 | 0.00 | 3.05 | 12.69 | 9.53 | 1.22 | 2.00 | 28.49 | 0.00 | 0.00 | 0.00 | 4.00 | 0.00 | 0.00 | 4.00 | 124.49 |
| 27 | 粤开证券 | 100.00 | 0.00 | 0.31 | 10.70 | 6.51 | 1.05 | 4.56 | 23.13 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 123.13 |
| 28 | 华安证券 | 100.00 | 5.00 | 0.00 | 11.12 | 3.41 | 0.84 | 2.50 | 22.87 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 122.87 |
| 29 | 华泰证券 | 100.00 | 0.00 | 0.00 | 10.37 | 4.76 | 9.37 | 2.00 | 26.50 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.00 | 4.00 | 122.50 |
| 30 | 国联证券 | 100.00 | 5.00 | 0.99 | 11.62 | 3.49 | 0.91 | 2.00 | 24.02 | 0.00 | 0.00 | 1.60 | 0.00 | 0.00 | 0.00 | 1.60 | 122.42 |
| 31 | 光大证券 | 100.00 | 0.00 | 2.22 | 12.55 | 2.94 | 2.97 | 3.04 | 23.73 | 0.00 | 0.00 | 3.20 | 0.00 | 0.00 | 0.00 | 3.20 | 120.53 |
| 32 | 浙商证券 | 100.00 | 0.00 | 2.49 | 11.68 | 4.89 | 1.47 | 3.82 | 24.35 | 0.00 | 4.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.00 | 120.35 |
| 33 | 华福证券 | 100.00 | 0.00 | 0.28 | 10.44 | 4.12 | 1.39 | 4.54 | 20.77 | 0.00 | 0.00 | 0.00 | 0.80 | 0.00 | 0.00 | 0.80 | 119.97 |
| 34 | 国金证券 | 100.00 | 0.00 | 2.40 | 11.13 | 3.83 | 1.78 | 2.00 | 21.15 | 0.00 | 0.00 | 1.60 | 0.00 | 0.00 | 0.00 | 1.60 | 119.55 |
| 35 | 平安证券 | 100.00 | 0.00 | 0.76 | 10.81 | 4.16 | 3.25 | 2.00 | 20.97 | 0.00 | 0.00 | 1.60 | 0.00 | 0.00 | 0.00 | 1.60 | 119.37 |
| 36 | 太平洋证券 | 100.00 | 0.00 | 0.91 | 11.42 | 4.24 | 0.54 | 2.00 | 19.10 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 119.10 |
| 37 | 山西证券 | 100.00 | 0.00 | 1.80 | 11.60 | 3.49 | 0.77 | 2.60 | 20.25 | 0.00 | 0.00 | 1.60 | 0.00 | 0.00 | 0.00 | 1.60 | 118.65 |
| 38 | 财达证券 | 100.00 | 0.00 | 0.36 | 11.25 | 4.31 | 0.67 | 2.00 | 18.60 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 118.60 |
| 39 | 国海证券 | 100.00 | 0.00 | 0.00 | 10.90 | 4.49 | 0.97 | 2.18 | 18.54 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 118.54 |
| 40 | 民生证券 | 100.00 | 0.00 | 1.84 | 10.46 | 3.38 | 0.65 | 2.18 | 18.51 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 118.51 |
| 41 | 第一创业 | 100.00 | 0.00 | 0.00 | 10.32 | 4.72 | 0.99 | 2.36 | 18.39 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 118.39 |
| 42 | 江海证券 | 100.00 | 0.00 | 0.55 | 11.07 | 3.98 | 0.56 | 2.00 | 18.16 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 118.16 |
| 43 | 华融证券 | 100.00 | 0.00 | 0.00 | 10.66 | 5.52 | 0.64 | 2.00 | 18.82 | 0.00 | 0.00 | 0.00 | 0.80 | 0.00 | 0.00 | 0.80 | 118.02 |
| 44 | 方正证券 | 100.00 | 0.00 | 0.56 | 12.21 | 4.12 | 2.98 | 2.00 | 21.87 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.00 | 4.00 | 117.87 |
| 45 | 渤海证券 | 100.00 | 0.00 | 0.64 | 10.61 | 2.83 | 0.51 | 3.10 | 17.70 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 117.70 |
| 46 | 南京证券 | 100.00 | 0.00 | 0.58 | 10.58 | 3.57 | 0.83 | 2.00 | 17.57 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 117.57 |
| 47 | 中原证券 | 100.00 | 0.00 | 0.33 | 11.17 | 2.38 | 1.12 | 2.50 | 17.50 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 117.50 |
| 48 | 中金财富 | 100.00 | 0.00 | 0.00 | 10.02 | 2.78 | 2.34 | 2.18 | 17.32 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 117.32 |
| 49 | 红塔证券 | 100.00 | 0.00 | 0.00 | 10.10 | 4.74 | 0.28 | 2.18 | 17.30 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 117.30 |
| 50 | 恒泰证券 | 100.00 | 5.00 | 3.90 | 11.82 | 2.66 | 0.70 | 2.00 | 26.08 | 4.00 | 0.00 | 0.00 | 0.80 | 0.00 | 4.00 | 8.80 | 117.28 |
| 51 | 国盛证券 | 100.00 | 0.00 | 0.31 | 10.81 | 3.53 | 0.61 | 2.00 | 17.25 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 117.25 |
| 52 | 财信证券 | 100.00 | 0.00 | 0.36 | 10.69 | 3.64 | 0.58 | 2.52 | 17.78 | 0.00 | 0.00 | 0.00 | 0.80 | 0.00 | 0.00 | 0.80 | 116.98 |
| 53 | 西南证券 | 100.00 | 0.00 | 1.62 | 12.60 | 4.45 | 1.09 | 2.75 | 22.50 | 0.00 | 0.00 | 5.60 | 0.00 | 0.00 | 0.00 | 5.60 | 116.90 |
| 54 | 华鑫证券 | 100.00 | 0.00 | 0.38 | 10.44 | 1.59 | 0.42 | 4.00 | 16.83 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 116.83 |
| 55 | 德邦证券 | 100.00 | 0.00 | 0.31 | 10.05 | 3.27 | 0.25 | 3.72 | 17.61 | 0.00 | 0.00 | 0.00 | 0.80 | 0.00 | 0.00 | 0.80 | 116.81 |
| 56 | 华林证券 | 100.00 | 0.00 | 0.58 | 10.63 | 2.98 | 0.56 | 2.00 | 16.76 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 116.76 |
| 57 | 湘财证券 | 100.00 | 0.00 | 0.00 | 10.61 | 3.14 | 0.95 | 2.00 | 16.70 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 116.70 |
| 58 | 东方证券 | 100.00 | 0.00 | 0.31 | 10.37 | 6.03 | 1.95 | 2.00 | 20.66 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.00 | 4.00 | 116.66 |
| 59 | 华创证券 | 100.00 | 0.00 | 0.00 | 10.55 | 4.59 | 0.25 | 3.07 | 18.46 | 2.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.00 | 116.46 |
| 60 | 华龙证券 | 100.00 | 0.00 | 0.58 | 10.94 | 2.44 | 0.47 | 2.00 | 16.42 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 116.42 |
| 61 | 银泰证券 | 100.00 | 0.00 | 0.00 | 9.01 | 4.85 | 0.54 | 2.00 | 16.40 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 116.40 |
| 62 | 广州证券 | 100.00 | 0.00 | 0.00 | 8.92 | 0.00 | 0.54 | 6.91 | 16.38 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 116.38 |
| 63 | 万和证券 | 100.00 | 0.00 | 0.00 | 10.05 | 4.52 | 0.39 | 2.00 | 16.95 | 0.00 | 0.00 | 0.00 | 0.80 | 0.00 | 0.00 | 0.80 | 116.15 |
| 64 | 东方财富 | 100.00 | 0.00 | 0.44 | 10.39 | 0.00 | 2.03 | 3.16 | 16.03 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 116.03 |
| 65 | 华西证券 | 100.00 | 0.00 | 1.47 | 10.75 | 1.97 | 1.30 | 2.00 | 17.50 | 0.00 | 0.00 | 1.60 | 0.00 | 0.00 | 0.00 | 1.60 | 115.90 |
| 66 | 联储证券 | 100.00 | 0.00 | 0.00 | 9.43 | 4.14 | 0.31 | 2.00 | 15.88 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 115.88 |
| 67 | 金元证券 | 100.00 | 0.00 | 1.57 | 11.01 | 4.32 | 0.81 | 2.00 | 19.71 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.00 | 4.00 | 115.71 |
| 68 | 中邮证券 | 100.00 | 5.00 | 0.00 | 10.03 | 0.00 | 0.05 | 2.00 | 17.08 | 2.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.00 | 115.08 |
| 69 | 大通证券 | 100.00 | 0.00 | 0.00 | 9.52 | 3.20 | 0.36 | 2.00 | 15.07 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 115.07 |
| 70 | 爱建证券 | 100.00 | 0.00 | 0.33 | 9.76 | 2.15 | 0.23 | 2.36 | 14.82 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 114.82 |
| 71 | 国开证券 | 100.00 | 0.00 | 0.00 | 9.96 | 2.74 | 0.06 | 2.00 | 14.76 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 114.76 |
| 72 | 新时代证券 | 100.00 | 0.00 | 0.76 | 11.09 | 0.00 | 0.58 | 2.18 | 14.61 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 114.61 |
| 73 | 英大证券 | 100.00 | 0.00 | 0.00 | 10.10 | 1.97 | 0.27 | 2.00 | 14.33 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 114.33 |
| 74 | 万联证券 | 100.00 | 0.00 | 0.75 | 10.91 | 0.00 | 0.55 | 2.10 | 14.30 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 114.30 |
| 75 | 五矿证券 | 100.00 | 0.00 | 0.77 | 10.82 | 0.00 | 0.22 | 2.00 | 13.81 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 113.81 |
| 76 | 东兴证券 | 100.00 | 0.00 | 0.99 | 11.35 | 0.00 | 1.03 | 2.00 | 15.37 | 0.00 | 0.00 | 1.60 | 0.00 | 0.00 | 0.00 | 1.60 | 113.77 |
| 77 | 长城国瑞 | 100.00 | 0.00 | 0.00 | 10.06 | 2.32 | 0.14 | 2.00 | 14.52 | 0.00 | 0.00 | 0.00 | 0.80 | 0.00 | 0.00 | 0.80 | 113.72 |
| 78 | 信达证券 | 100.00 | 0.00 | 0.44 | 10.10 | 0.00 | 1.14 | 2.00 | 13.69 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 113.69 |
| 79 | 宏信证券 | 100.00 | 0.00 | 0.00 | 10.03 | 0.00 | 0.17 | 2.83 | 13.03 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 113.03 |
| 80 | 中天国富 | 100.00 | 0.00 | 0.64 | 10.34 | 0.00 | 0.00 | 2.00 | 12.98 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.98 |
| 81 | 世纪证券 | 100.00 | 0.00 | 0.47 | 10.10 | 0.00 | 0.13 | 2.00 | 12.70 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.70 |
| 82 | 华金证券 | 100.00 | 0.00 | 0.00 | 10.20 | 0.00 | 0.09 | 2.00 | 12.29 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.29 |
| 83 | 川财证券 | 100.00 | 0.00 | 0.00 | 10.18 | 0.00 | 0.06 | 2.00 | 12.24 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.24 |
| 84 | 东海证券 | 100.00 | 0.00 | 0.31 | 10.52 | 0.00 | 0.82 | 2.18 | 13.83 | 0.00 | 0.00 | 1.60 | 0.00 | 0.00 | 0.00 | 1.60 | 112.23 |
| 85 | 华菁证券 | 100.00 | 0.00 | 0.00 | 10.02 | 0.00 | 0.00 | 2.00 | 12.02 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 112.02 |
| 86 | 长城证券 | 100.00 | 0.00 | 0.00 | 10.80 | 2.67 | 1.02 | 3.08 | 17.57 | 0.00 | 0.00 | 1.60 | 0.00 | 0.00 | 4.00 | 5.60 | 111.97 |
| 87 | 中金公司 | 100.00 | 0.00 | 0.50 | 10.07 | 2.58 | 0.37 | 2.00 | 15.52 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.00 | 4.00 | 111.52 |
| 88 | 中银证券 | 100.00 | 0.00 | 0.00 | 10.77 | 4.35 | 1.10 | 2.00 | 18.21 | 0.00 | 2.00 | 5.00 | 0.00 | 0.00 | 0.00 | 7.00 | 111.21 |
| 89 | 网信证券 | 100.00 | 0.00 | 0.00 | 10.13 | 0.00 | 0.11 | 2.00 | 12.24 | 0.00 | 0.00 | 1.60 | 0.00 | 0.00 | 0.00 | 1.60 | 110.64 |
| 90 | 中航证券 | 100.00 | 0.00 | 0.00 | 10.36 | 2.48 | 0.45 | 2.00 | 15.29 | 0.00 | 0.00 | 0.00 | 1.60 | 0.00 | 4.00 | 5.60 | 109.69 |
| 91 | 大同证券 | 100.00 | 0.00 | 0.44 | 10.74 | 0.00 | 0.20 | 2.00 | 13.39 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.00 | 4.00 | 109.39 |
| 92 | 申港证券 | 100.00 | 0.00 | 0.31 | 10.13 | 0.00 | 0.02 | 2.00 | 12.46 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 4.00 | 4.00 | 108.46 |
| 93 | 国都证券 | 100.00 | 0.00 | 0.31 | 10.20 | 3.42 | 0.37 | 2.00 | 16.29 | 0.00 | 0.00 | 8.00 | 0.00 | 0.00 | 0.00 | 8.00 | 108.29 |
| 94 | 中天证券 | 100.00 | 0.00 | 0.00 | 0.00 | 1.60 | 0.27 | 4.18 | 6.04 | 0.00 | 0.00 | 0.00 | 0.80 | 0.00 | 0.00 | 0.80 | 105.24 |
| 95 | 华宝证券 | 100.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.14 | 2.00 | 2.14 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 102.14 |
| 96 | 东亚前海 | 100.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 2.00 | 2.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 0.00 | 102.00 |

注：102家主办券商中，6家证券公司子公司纳入其母公司合并评价。其中，中信证券（山东）与母公司中信证券、东方投行证券与母公司东方证券、申万宏源西部证券与母公司申万宏源证券、华泰联合证券与母公司华泰证券、华英证券与国联证券、方正承销保荐证券与方正证券合并评价。